

EY Comply for Financial Services™

Global regulatory reporting service

EY helps asset management firms prepare for and manage evolving regulatory reporting requirements and demands



Building a better
working world

Are you ready

for the next wave of regulatory reporting requirements?

- ▶ How do you build a compliant and efficient reporting function?
- ▶ How do you improve the timeliness of your reporting across regimes and/or jurisdictions?
- ▶ How can you improve timely access to the right data?
- ▶ How can you prove the accuracy of your data?
- ▶ How will you develop a scalable and flexible staffing model for reporting?
- ▶ Who can help you coordinate across your existing service providers?

Challenging environment for the industry

Margin compression



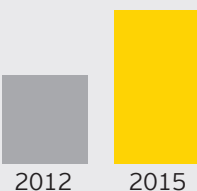
In the last year, **85% of fund managers** have seen their people costs increase, and **75%** have seen their technology costs increase.

Regulatory burden



75% of fund managers cited regulatory compliance as the main cause of declining margins.

Increasing risk



In 2015, **35% more firms** report feeling underprepared for the current regulatory risk environment than in 2012.

Global complexity



Funds need to comply with an increasing number of disparate regulatory regimes globally.

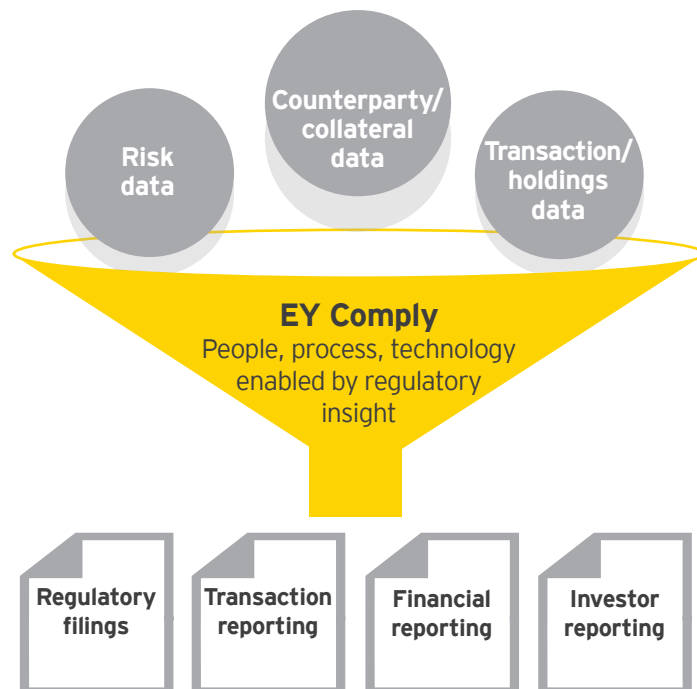
Source: EY Global Wealth and Asset Management Survey, 2015

EY Comply for Financial Services – a new global regulatory reporting service

EY Comply

- ▶ Is an end-to-end service for regulatory reporting
- ▶ Can incorporate and enhance your existing reporting process – supporting new regulations and increasing accuracy of existing reports
- ▶ Can take your data from many sources in various formats – eliminating the need for complicated and manual reconciliation
- ▶ Is kept current by EY's global team of regulatory professionals
- ▶ Leverages EY's global delivery network, technology and process automation

How it works



A single service equipped for every global reporting need

Reduced cost



30% to 50% (est.)

- ▶ No manual data manipulation
- ▶ Easy-to-use quality control (QC) tools
- ▶ QC performed by global team of risk-trained analysts
- ▶ Automated rules engine

Reduced risk



- ▶ Automated cross-checks
- ▶ Exception alerts and escalations
- ▶ Proven data aggregation tools

Better visibility



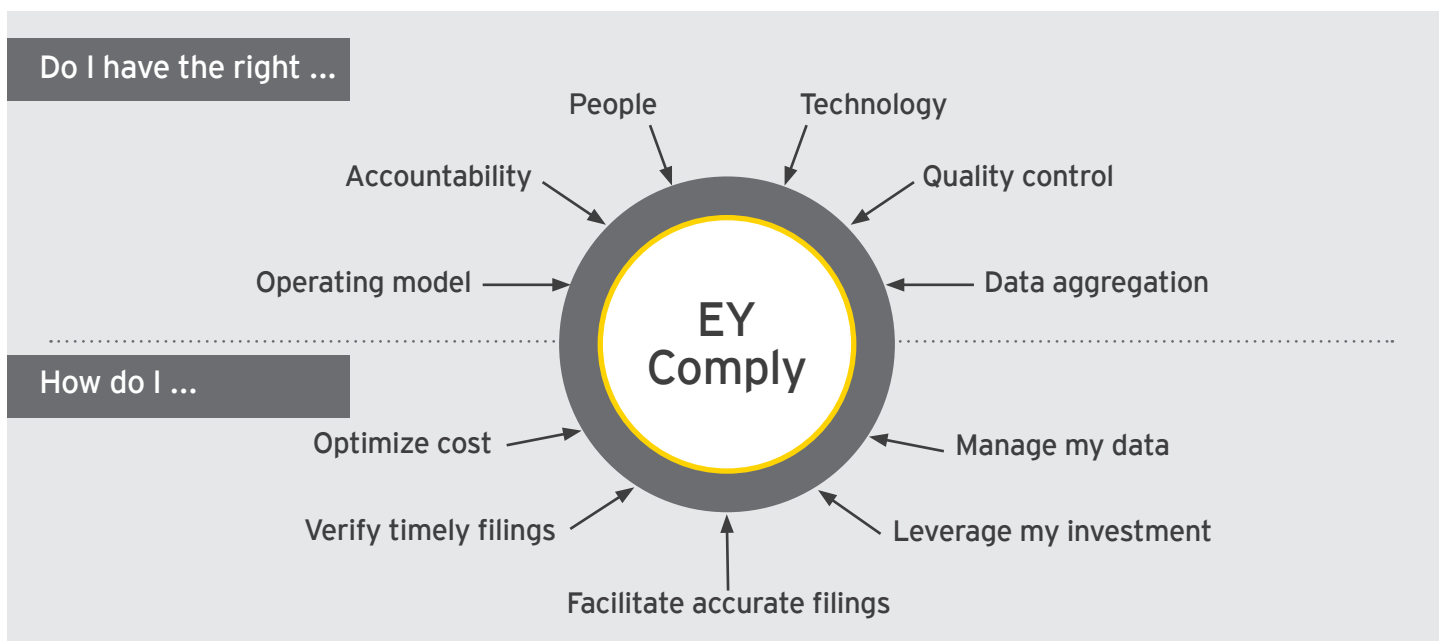
- ▶ Insight into liquidity and derivatives positions
- ▶ Reporting dashboard facilitates timely compliance

Enabled focus



- ▶ Tap into economies of scale through an industry service
- ▶ Reduce the time and effort that goes into reporting

EY Comply helps you address your fundamental questions



Ernst & Young LLP's Global Regulatory Reporting Service contacts



Michael Barnes
Principal
+1 212 773 1411
michael.barnes@ey.com



John Sampson
Executive Director
+1 212 773 2729
john.sampson@ey.com



Michael Fox
Principal
+1 212 773 6382
michael.fox@ey.com



Dan Connell
Executive Director
+1 212 773 5885
dan.connell@ey.com

EY member firms provide services to:

- ▶ 96% of the top 25 global asset managers
- ▶ Approximately 70% of the top 100 global hedge funds
- ▶ More than 75% of the top 25 global hedge funds
- ▶ 88% of the top 25 global private equity firms
- ▶ 6 of the top 10 sovereign wealth funds and 17 of the top 25
- ▶ 86% of PERE's Top 50 real estate private equity firms
- ▶ The managed account divisions of 6 of the top 10 global private banks/wealth managers
- ▶ Approximately 25% of the top 25 US business development companies
- ▶ 60% of the top 20 global pension funds
- ▶ 90% of the top prime brokers by assets
- ▶ 80% of the top 10 global custodians by assets

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